

10/02 U.S. PATENT AND TRADEMARK OFFICE  
03/00

04-02-01 A

Please type a plus sign (+) inside this box



PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	YOD-001
First Inventor	Matthew D. Kraus
Title	DEVICE AND METHOD FOR CAPTURING DATA FROM ATTENDEES DURING EVENTS
Express Mail Label No	EL 636 431 159 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit and original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 12]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 03]
5. Oath or Declaration [Total Pages 02]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
- c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  
(when there is an assignee)  Power of Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ /

Prior application information Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label			<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	26137		
Address	PATENT TRADEMARK OFFICE		
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	IAN R. BLUM	Registration No. (Attorney/Agent)	42,336
Signature	March 30, 2001		

Burden Hour Statement. This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

03/30/01  
J1046 U.S. PTO

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Matthew D. Kraus  
Serial No.: Not Yet Assigned

Filed: Concurrently Herewith

Entitled: Device and Method For Capturing  
Data From Attendees During  
Events

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Attorney Docket No.: YOD-001

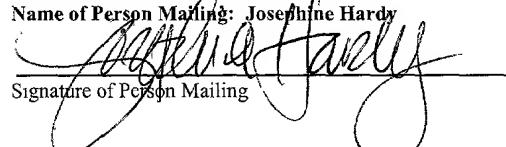
## CERTIFICATE OF MAILING UNDER 37 CFR § 1.10 "Express Mail" Mailing Label Number

EL 636 431 159 US

Date of Deposit: March 30, 2001

I hereby certify that this paper and all enclosures referred to therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Name of Person Mailing: Josephine Hardy

  
Signature of Person Mailing

## PATENT APPLICATION TRANSMITTAL LETTER AND FEE AUTHORIZATION

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

In addition to the fee for filing this patent application, transmitted herewith are the following documents:

Specification, including claims, abstract (12 pages) and three (3) figures (3 sheets);  
1449 Form (with references);  
Declaration and Power of Attorney;  
Recordation Form Cover Sheet and Assignment; and  
Utility Patent Application Transmittal (PTO Form SB/05).

The fees for submitting this patent application are estimated as follows:

J1046 U.S. PTO  
03/30/01  
03/30/01

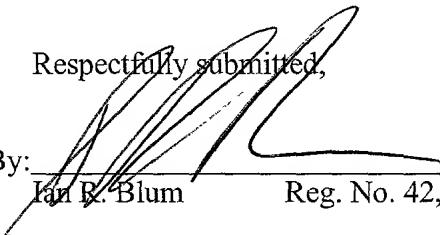
Fees

				SMALL ENTITY		OTHER	
				Rate	Fee	Rate	Fee
Basic Fee					\$ 355.00		\$ 710.00
Total Claims	21	( - 20)	1	x 9.00	\$ 9.00	x 18.00	\$
Indep. Claims	5	( - 3)	2	x 40.00	\$ 80.00	x 80.00	\$
Multiple Dependent Claim(s):				\$135.00	\$	\$270.00	\$
				TOTAL:	\$ 444.00	TOTAL	\$

The Commissioner is hereby authorized to charge the filing fee estimated to be \$444.00 and any fees which may be required in connection with this submission, to Skadden, Arps, Slate, Meagher & Flom LLP **Deposit Account No. 19-2385**. (Please reference Attorney Docket No. YOD-001).

Priority of Application No. \_\_\_\_\_, filed \_\_\_\_\_ is claimed under 35 U.S.C. § 119.

Date: March 30, 2001

Respectfully submitted,  
 By:   
 Ian R. Blum Reg. No. 42,336

SKADDEN, ARPS, SLATE, MEAGHER  
 & FLOM LLP  
 Four Times Square  
 New York, New York 10022  
 (212) 735-3247

Enclosure

Express Mail Label No.: EL 636 431 159 US